

2018 Current Fiscal Year Report: National Advisory Allergy and Infectious Diseases Council

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1. Department or Agency		2. Fiscal Year	
Department of Health and Human Services		2018	
3. Committee or Subcommittee		3b. GSA Committee No.	
National Advisory Allergy and Infectious Diseases Council		938	
4. Is this New During Fiscal Year?	5. Current Charter	6. Expected Renewal Date	7. Expected Term Date
No	06/30/2018	06/30/2020	
8a. Was Terminated During FiscalYear?	8b. Specific Termination Authority	8c. Actual Term Date	
No			
9. Agency Recommendation for Next FiscalYear	10a. Legislation Req to Terminate?	10b. Legislation Pending?	
Continue	Not Applicable	Not Applicable	
11. Establishment Authority Statutory (Congress Created)			
12. Specific Establishment Authority	13. Effective Date	14. Committee Type	14c. Presidential?
42 U.S.C. 284a	11/20/1985	Continuing	No
15. Description of Committee Other Committee			
16a. Total Number of Reports	No Reports for this FiscalYear		
17a. Open 0	17b. Closed 0	17c. Partially Closed 3	Other Activities 0
17d. Total 3			

Purpose	Start	End
Program Advisory/Second Level Peer Review	01/29/2018	- 01/29/2018
Program Advisory/Second Level Peer Review	06/04/2018	- 06/04/2018
Program Advisory/Second Level Peer Review	09/17/2018	- 09/17/2018

Number of Committee Meetings Listed: 3

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$9,200.00	\$9,200.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$147,528.00	\$150,331.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$33,068.00	\$33,481.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$30,332.00	\$30,939.00

18d. Total	\$220,128.00	\$223,951.00
19. Federal Staff Support Years (FTE)	0.90	0.90

20a. How does the Committee accomplish its purpose?

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B)...biomedical and behavioral research and development contracts.... This council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities for the Institute. Of the applications that were reviewed by initial review groups for the National Institute of Allergy and Infectious Diseases, the Council reviewed 13,227 applications in the amount of \$14,355,176,473. Reports to the Council were given by the directors of the Vaccine Research Center and the NIAID Division of Intramural Research.

20b. How does the Committee balance its membership?

The National Advisory Allergy and Infectious Diseases Council is composed of 18 members with research interests and responsibilities paralleling those of the National Institute of Allergy and Infectious Diseases. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of immunology, immunologic and allergic diseases, microbiology and infectious diseases, virology, epidemiology, tropical diseases, and sexually transmitted diseases including AIDS. Six members are representatives of the general public with interests in public policy, law, health policy, economics and management.

20c. How frequent and relevant are the Committee Meetings?

Meetings are held not less than three times each fiscal year. The National Advisory Allergy and Infectious Diseases Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs. One member serves as a non-voting representative of this Council to the Office of AIDS Research Advisory Council.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Advisory Allergy and Infectious Diseases Council is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance

of advice from this council cannot be obtained from NIH staff or from other established sources because the membership of the council is constituted to meet the specific requirements of the mandated mission of the council and of the Institute.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Allergy and Infectious Diseases Council were partially closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permits the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This committee did not produce any public reports during the fiscal year. The DFO and Decision Maker are the same person, the Director, Division of Extramural Activities because this division depends on recommendations made by the Council, and subsequent federal decisions to carry out its mission. Members, Chong, Schlesinger, Sharpe, and Wilson whose terms were set to expire on 10/31/2017 were administratively extended to 04/30/2018 while new members and nominees were delayed in completing the appointment process. Members, Feinberg and Lemon, were inadvertently left off of the FY17 report. They both had a start date of 09/01/2017. Ex Officio, Carvalho, should not have been placed on the FY17 report, his term date for NAAIDC was 3/1/2013 - 9/30/2015.

Designated Federal Officer

Matthew Fenton Director, Division of Extramural Research

Committee Members	Start	End	Occupation	Member Designation
ANDINO, RAUL	01/12/2016	10/31/2019	PROFESSOR	Special Government Employee (SGE) Member
ANSARI, AFTAB	01/08/2016	10/31/2019	PROFESSOR	Special Government Employee (SGE) Member
AZAR, ALEX	01/29/2018	01/20/2021	Secretary	Ex Officio Member
BOOK, WENDY	10/15/2015	10/31/2018	DIRECTOR	Special Government Employee (SGE) Member
Brenner, Michael	08/22/2018	10/31/2021	Theodore Bevier Bayles Professor of Medicine	Special Government Employee (SGE) Member
CASTEL, AMANDA	11/01/2014	10/31/2018	ASSOCIATE PROFESSOR	Special Government Employee (SGE) Member
CHONG, ANITA	11/01/2013	04/30/2018	PROFESSOR	Special Government Employee (SGE) Member
Collins, Francis	08/16/2009	01/20/2021	Director, NIH	Ex Officio Member
DAVEY, VICTORIA	06/08/2010	12/31/2023	CHIEF OFFICER	Ex Officio Member
FAUCI, ANTHONY	12/01/2016	10/31/2018	DIRECTOR	Ex Officio Member
FEINBERG, MARK	09/01/2017	10/31/2020	PRESIDENT AND CEO	Special Government Employee (SGE) Member

Fernandez-Sesma, Ana	08/23/2018	10/31/2021	Professor	Special Government Employee (SGE) Member
GUATELLI, JOHN	11/01/2014	10/31/2018	PROFESSOR	Special Government Employee (SGE) Member
Galli, Stephen	01/23/2015	10/31/2018	The Mary Hewitt Loveless, MD Professor	Special Government Employee (SGE) Member
HARGAN, ERIC	10/10/2017	01/29/2018	Acting SECRETARY, HHS	Ex Officio Member
HODDER, SALLY	01/13/2016	10/31/2019	DIRECTOR	Special Government Employee (SGE) Member
Jenkins, Marc	08/22/2018	10/31/2021	Distinguished McKnight University Professor	Special Government Employee (SGE) Member
KHABBAZ, RIMA	04/14/2010	12/31/2022	DEPUTY DIRECTOR FOR INFECTIOUS DISEASES	Ex Officio Member
KHURANA HERSHEY, GURJIT	11/01/2014	10/31/2018	KINDERVELT ENDOWED PROFESSOR	Special Government Employee (SGE) Member
LEMON, STANLEY	09/01/2017	10/31/2020	PROFESSOR OF MEDICINE AND MICROBIOLOGY & IMMUNOLOGY	Special Government Employee (SGE) Member
NELSON, KAREN	01/15/2016	10/31/2019	PRESIDENT	Special Government Employee (SGE) Member
PATEL, ROBIN	09/01/2017	10/31/2020	CHAIR	Special Government Employee (SGE) Member
SCHLESINGER, LARRY	11/01/2013	04/30/2018	SAMUEL SASLAW PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
SHARPE, ARLENE	11/01/2013	04/30/2018	GEORGE FABYAN PROFESSOR OF COMPARATIVE PATHOLOGY	Special Government Employee (SGE) Member
WILSON, CARA	01/08/2016	10/31/2019	PROFESSOR	Special Government Employee (SGE) Member
WILSON, CHRISTOPHER	11/01/2013	04/30/2018	DIRECTOR	Special Government Employee (SGE) Member
Whalen, Kay	08/06/2018	10/31/2021	President	Special Government Employee (SGE) Member
Wright, Don	09/29/2017	10/10/2017	Acting SECRETARY, HHS	Ex Officio Member

Number of Committee Members Listed: 28

Narrative Description

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that “The Secretary....shall by regulation require appropriate technical and scientific peer review of –(A) applications ...; and (B) ...biomedical and behavioral research and development contracts...”The National Advisory Allergy and Infectious Diseases Council provides second level peer review, makes recommendations for support of grants, contracts proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and

identifies research needs of the National Institute of Allergy and Infectious Diseases.

What are the most significant program outcomes associated with this committee?

Checked if Applies

- | | |
|---|-------------------------------------|
| Improvements to health or safety | <input type="checkbox"/> |
| Trust in government | <input type="checkbox"/> |
| Major policy changes | <input checked="" type="checkbox"/> |
| Advance in scientific research | <input checked="" type="checkbox"/> |
| Effective grant making | <input checked="" type="checkbox"/> |
| Improved service delivery | <input type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

NA

What are the cost savings associated with this committee?

Checked if Applies

- | | |
|----------------------------|-------------------------------------|
| None | <input type="checkbox"/> |
| Unable to Determine | <input checked="" type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new way to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

127,906

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

% of Recommendations Fully Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

Written and oral reports.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input checked="" type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>

Approved grants or other payments



Other



Action Comments

An action of “approved” or “recommended” for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH’s funding principles, review of the project budget, assessment of the applicant’s management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated **Number** of grants reviewed for approval 13,227

What is the estimated **Number** of grants recommended for approval 13,227

What is the estimated **Dollar Value** of grants recommended for approval \$1,470,274,585

Grant Review Comments

N/A

How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO



Online Agency Web Site



Online Committee Web Site



Online GSA FACA Web Site



Publications



Other



Access Comments

Contact IC Committee Management Officer 240-669-5201